

Readiness Proposal

with Fondo Acción for Republic of Colombia

08 January 2018 | Adaptation Planning





Readiness and Preparatory Support Proposal

How to complete this document?

- A [readiness guidebook](#) is available to provide information on how to access funding under the GCF Readiness and Preparatory Support programme. It should be consulted to assist in the completion of this proposal template.
- This document should be completed by National Designated Authorities (NDAs) or focal points with support from their delivery partners where relevant.
- Please be concise. If you need to include any additional information, please attach it to the proposal.
- Information on the indicative list of activities eligible for readiness and preparatory support and the process for the submission, review and approval of this proposal can be found on pages 11-13 of the guidebook

Where to get support?

- If you are not sure how to complete this document, or require support, please send an e-mail to countries@gcfund.org. We will aim to get back to you within 48 hours.
- You can also complete as much of this document as you can and then send it to countries@gcfund.org. We will get back to you within 5 working days to discuss your submission and the way forward.

Note: Environmental and Social Safeguards and Gender

Throughout this document, when answering questions and providing details, please make sure to pay special attention to environmental, social and gender issues, in particular to the situation of vulnerable populations, including women and men. Please be specific about proposed actions to address these issues. Consult page 4 of the readiness guidebook for more information.



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SECTION 1: SUMMARY	
1. Country submitting the proposal	<p>Country name: Republic of Colombia</p> <p>Name of institution (representing National Designated Authority or Focal Point): National Planning Department (DNP)</p> <p>Name of official: Juan Felipe Quintero Villa</p> <p>Position: Deputy Director Territorial and Public Investment <i>Ad interim</i>, DNP</p> <p>Telephone: +57(1)3815000 Ext. 3100</p> <p>Email: juquintero@dnpp.gov.co; scalderson@dnpp.gov.co</p> <p>Full Office address: Departamento Nacional de Planeación Calle 26 No. 13-19 Piso 31, Edificio Fonade Bogota, Colombia</p>
2. Date of initial submission	11/11/2016
3. Last date of resubmission (if applicable)	<p>Second resubmission: 30/04/2017</p> <p>Third resubmission: 18/05/2017</p> <p>Fourth resubmission: 31/07/2017</p> <p>Fifth resubmission: 23/08/2017</p> <p>Sixth resubmission: 10/10/2017</p> <p>Seventh resubmission: 10/11/2017</p> <p>Eighth resubmission: 08/12/2017</p>
4. Which entity will implement the Readiness and Preparatory Support project? (Provide the contact information if entity is different from NDA/focal point)	<p><input type="checkbox"/> National Designated Authority <input checked="" type="checkbox"/> Delivery partner <input type="checkbox"/> Accredited entity</p> <p>Name of institution: Fondo para la Acción Ambiental y Niñez (FONDO ACCIÓN)</p> <p>Name of official: Natalia Arango Vélez Position: Technical Director</p> <p>Telephone: (+571) 2853862 Email: narango@fondoaccion.org</p> <p>Full Office address: Carrera 7 No. 32-33, Piso 27, Bogotá D.C., Colombia</p>
5. Title of the Readiness and Preparatory Support Proposal	SUPPORTING COLOMBIA'S ADAPTATION PLANNING PROCESS.
6. Brief summary of the request (200 words)	<p>This readiness action plan will decisively support the Colombian government in order to enter the implementation phase of its National Adaptation Plan (PNACC) in the context of the mandate to build a resilience economy given by the National Development Plan and the adaptation actions presented in the country NDC. It is important to highlight that this funding will help the Colombian government and in particular the PNACC steering committee that is integrated by the National Planning Department (DNP), the Environment Ministry (MADS), the National Disaster Risk Management Unit (UNGRD) and the Colombian Institute for Environmental and Hidrometeorological Studies (IDEAM), to address important knowledge gaps and to build capacities in order to plan, prioritize, implement, monitor and evaluate the effectiveness and impact of adaptation interventions. This will result in the consolidation of the PNACC's implementation work plan, as an input for the formulation of a CONPES document on Adaptation to Climate Change for Colombia. Process support of this Readiness proposal is described in Figure 1.</p> <p>Main outcomes of this Readiness will contribute to:</p> <ul style="list-style-type: none"> Establish a work plan for private sector engagement to encourage investments in adaptation actions as a mean to reduce economic losses due to global warming impacts and to seize the economic opportunities from the response measures.



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	<ul style="list-style-type: none"> • Build an Integrated Adaption Information System (linked to the National Climate Change Information System) that consolidates existing scattered adaptation information systems. This includes structuring the arrangements to secure the sustainability of the Integrated System. • Strengthen capacities of sectoral ministries to incorporate adaptation criteria into their planning instruments. • Consolidate the pipeline of projects with adaptation impacts and including formulation of prioritized ones. <p>Finally, the governance of this project is constituted by the PNACC steering committee plus Fondo acción as delivery partner performing as the supervisory board for this Readiness Program. In addition, as detailed in Section 5, an Operational Manual will be elaborated, defining the institutional responsibilities and the governance structure.</p>
7. Total requested amount and currency	US\$2.683.964
8. Anticipated duration	30 months
9. Is the country receiving other Readiness and Preparatory Support related to the GCF?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	<p>If yes, please attach the relevant scope of work, and briefly (100 words) describe the scope of support provided by other institutions</p> <p>First, Colombia is developing the BMUB funded GCF Readiness Programme with the support of UNDP, UNEP and World Resource Institute (WRI). Based on the identified climate finance needs and priorities of the country, the aims of the Programme are:</p> <ul style="list-style-type: none"> i) To strengthen national capacities for access and management of climate finance ii) To build capacities for project developers, with a particular emphasis on the private sector iii) To build a project pipeline for the GCF and other climate finance sources iv) To build capacities for monitoring and allocating climate finance flows v) To support local financial institutions to build up the skills required for climate related investment activity <p>In implementing these activities, the Program works closely with the National Planning Department and the Financial Management Committee. The amount of this readiness was US\$1,7 million and it will finish its activities by December 2017.</p> <p>Second, Colombia has received Readiness support for two national entities which are seeking GCF accreditation. These two entities were Bancóldex and Fondo Acción. This support was developed by PwC and consisted of a site visit and assessment of the fiduciary standards and Environmental and Social Safeguards (ESS) of each entity and its conformity with GCF requirements. PwC reviewed the policies, procedures and systems used by each national entity and assess how these systems are implemented in practice and integrated into the decision-making process of the organization.</p> <p>Third, Colombia was awarded on June 2017 the US\$393.000 for the readiness proposal aimed at building capacities at DNP and the agencies of the collegiate body in accessing GCF funding. The implementation of these resources will be done with the support of the Presidential Agency of International Cooperation (APC for its acronym in Spanish).</p>

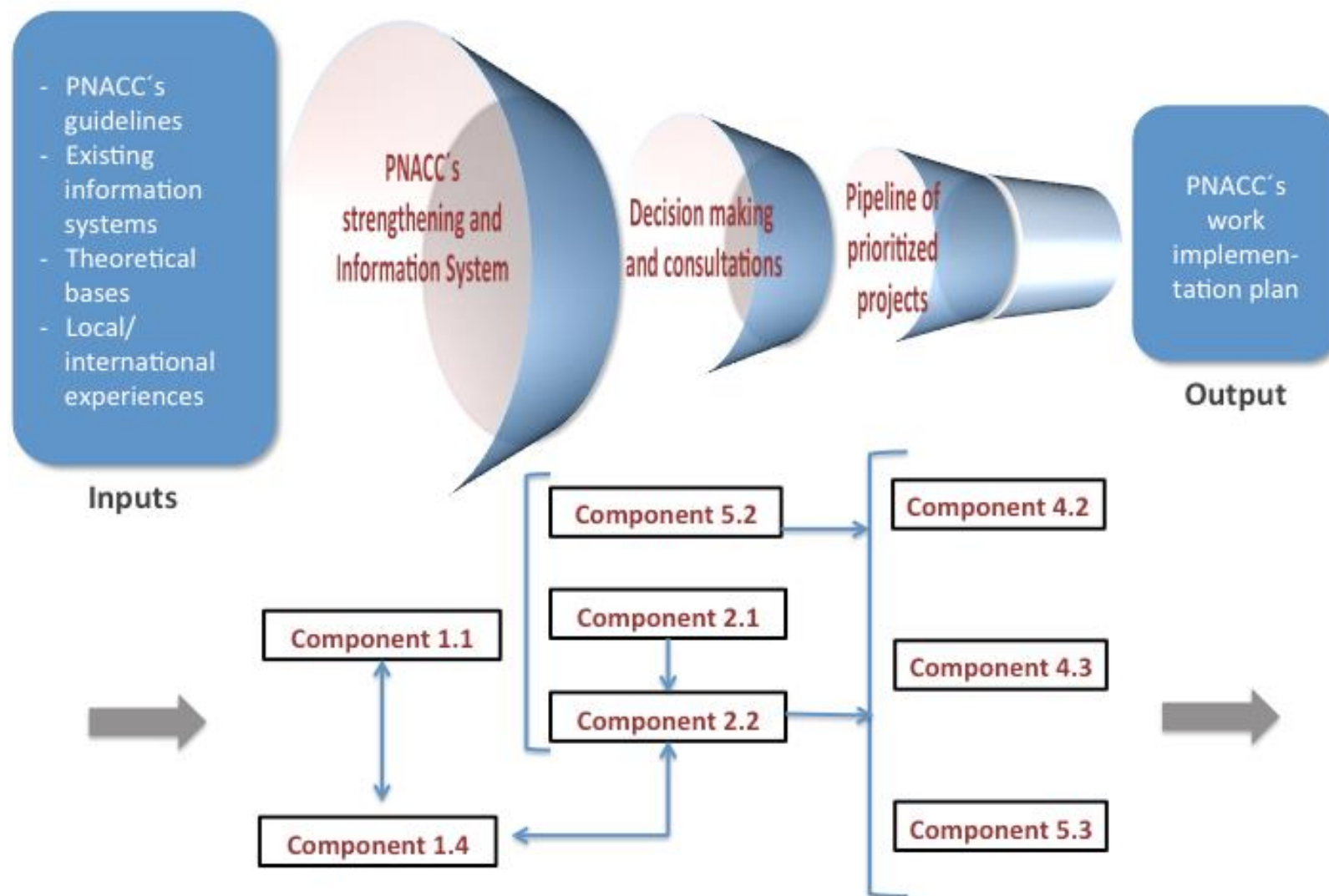


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	APC will take responsibility for fiduciary management of readiness funding and will procure consultancy services necessary to deliver activities of Readiness Activities
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Figure 1. NAP READINESS SUPPORT PROCESS





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SECTION 2: COUNTRY READINESS LOGICAL FRAMEWORK

Please complete the table below, which enables a country to assess its readiness for the GCF and set targets for strengthening its readiness, including proposed outputs and activities to improve the country's readiness. For further guidance on completing the table, please refer to the guidebook "Accessing the GCF Readiness and Preparatory Support Programme".

OUTCOMES ¹	BASELINE	TARGET	ACTIVITIES (including key outputs or deliverables where applicable)
1. Country capacity strengthened	0-8	0-8	
1.1 National adaptation coordination mechanism	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2	<p>To strengthen the capacities of the agencies that integrates the steering committee of the Climate Change National Adaptation Plan (PNACC² in Spanish). So far, the PNACC has contributed to the development of guidelines and tools that enable subnational entities and sectors to incorporate adaptation goals in their planning instruments and working plans. Then, the strengthening under this Readiness program is aimed at guiding the implementation of robust and comprehensive climate change adaptation programs, projects and plans. To achieve this, qualified personnel will be recruited for ensuring an effective and coordinated implementation of this readiness initiative and the overall efforts of the Colombian Government towards implementing the PNACC. These staff is expected to perform the following tasks:</p> <ul style="list-style-type: none"> To design a technical training in subjects related to threats, vulnerabilities, adaptation response measures and risks of global warming in the territories and key sectors as the basis to assess and evaluate threats, vulnerabilities and risks of climate change. To link the National Climate Change Policies and adaptation strategies with Green Growth projects, and Competitiveness projects directed to the private sector.

¹ Based on decisions: B.08/10, annexes XII, XIII & XIV; B.08/11; B.11/10, annex I; B.12/20, annex I

² Detailed information regarding the PNACC can be found in section 3.



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			<ul style="list-style-type: none">• To promote knowledge sharing and management in both public and private sectors, dedicated to monitoring threats, vulnerabilities and risks of climate change and seizing business opportunities and leverage their capacity to implement Colombia's' programming priorities.• Considering the current extreme manifestations and slow onset events of climate variability and change in communities and territories, if necessary, formulate proposals to update policies, regulations, action plans related with climate change adaptation, National Adaptation Plans and other planning instruments related with territorial scale or sectoral approach, approved or approval process.• To strengthen the existing processes to mainstream information related to climate variability and change in environmental, territorial and sectorial planning instruments.• To supervise the research studies, consultancies and public consultations that will be developed under this readiness initiative. This supervision involves among other responsibilities the preparation of terms of reference and the assessment and analysis of the products delivered. <p>Detailed information of the activities and deliverables to be performed by these professionals are presented in Annexes 01 up to 07 and 13 (draft terms of reference).</p> <p>The deliverables that will be produced and submitted to the GCF are indicated in the annex file "15 GCF Deliverables".</p>
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1.2 No objection procedure established and implemented	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	NA
1.3 Bilateral agreements between the country and the GCF executed	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	NA
1.4 Monitoring, oversight and streamlining of climate change adaptation	<input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2	<p>To streamline and strengthen an integrated adaptation information system to consolidate, analyze and evaluate climate information, outcomes and indicators to strengthen the capacity of implementation, evaluation and monitoring of climate change adaptation programs, projects and plans and seize the economic and social adaptation opportunities. This activity will be conducted upon existing tools such as the Climate Action Tool (Herramienta para la Acción Climática), the Climate Change Plans formulated at sectorial and subnational levels, the Information systems developed by the Colombian Adaptation Fund and the information systems used as the basis for the preparation of the Third National Communication and the Climate Finance MRV system. All these tools lack an integrating framework due to the fact that the data is generated depending on the mandates, competencies and priorities of each agency and this has made it difficult to establish the links to transform all this data into information useful for decision-making.</p> <p>This Integrated Adaptation Information System will be linked to the National Climate Change Information Systems that will be fully compatible with the SIAC (Sistema de Información Ambiental de Colombia).</p> <p>Detailed information of the activities, deliverables and indicative budget from the information system consultancy is presented in Annex 8 (Draft terms of reference).</p> <p>The deliverables that will be produced and submitted to the GCF are indicated in the annex file "15 GCF Deliverables".</p>



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2. Stakeholders engaged in consultative processes	0-8	0-8	
<i>2.1 Stakeholders engaged in consultative processes</i>	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2	<p>To develop and implement a strategy and guide for stakeholders and community participation and engagement with an emphasis on gender equity, for the design, prioritization, implementation and monitoring of climate change adaptation plans, programs and projects based on ecosystems, communities, sectors and/or territories.</p> <p>A number of workshops targeting national, subnational, private sector and community actors will be held for fulfilling this outcome (please refer to the budgeted for further details). These consultations will be included and build upon the Colombia country programme and will feed the PNACC implementation process.</p> <p>Private sector workshops will be selected from the prioritized sectors of the PNACC. Additionally, the strategy of private sector engagement will take into account the progress and network of contacts of the Green Growth Mission led by DNP. Please refer to section 5 for a more detailed elaboration on the private sector involvement strategy.</p> <p>Communication materials and tools will also be produced under this item.</p> <p>The outcome of these consultations will be used as an input for outcome 2.2.</p> <p>For further details please refer to “20170728 budget readiness NAP Colombia final.xlsx” (budget sheet, cell I14).</p>



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			The deliverables that will be produced and submitted to the GCF are indicated in the annex file "15 GCF Deliverables".
2.2 Adaptation priorities identification	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2	<p>To develop a decision making tool to prioritize adaptation interventions, to strengthen the knowledge and information management related to climate change hazards, exposure, vulnerabilities, risks and economic impact on sectors and territories as an input to guide the more effective implementation of the country climate policies and strategies. Taking into consideration among other elements:</p> <ul style="list-style-type: none"> • The information generated in hydro-climatic risk management studies, conclusions and risks and vulnerabilities reducing proposals, and consistency assessment with sectorial and territorial studies, as well as with studies developed by DNP, IDEAM and other official entities, both in Colombia and ecosystems in similar countries in Latin America. • Climate models and new climate change threats, exposure, vulnerability, risks, effects and economic impact on sectors and territories. • Lessons learnt from former projects and plans implementation processes, both at national and regional level, especially potential and identified barriers to implementing adaptation measures. ¿What can delay or stop the measure implementation? (Eg, policies, regulations or involved communities) ¿How to manage these barriers or actions or measures that allow operation at the time expected and planned? ¿How to seize opportunities identified?



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			<ul style="list-style-type: none"> • The prioritization tool in addition to considering climate hazards, exposure, vulnerabilities, risks and economic impacts (as stated in the activity description), will define options/solutions, and include criteria to evaluate their anticipated scale of impacts over time; as well as relative feasibility in terms of cost, time to implement, acceptability to the public, co-benefits (to employment, biodiversity resilience, etc.). • The input from the stakeholder consultation processes held under outcome 2.1. <p>The decision-making tool aims at identifying and prioritizing concrete adaptation interventions as a mean to respond to the growing threats and vulnerabilities that is facing Colombia due to climate change. Application of this tool will help to consolidate a pipeline of prioritized adaptation projects under this Readiness. The main activities of this program are:</p> <ul style="list-style-type: none"> • Elaborate a detailed visualization of the process of making adaptation decisions in the territorial/sectorial context. • Mapping current or expected problems derived from non-informed decision making processes. • Mapping relevant actors with some stake on adaptation decisions, indicating roles, level of influence and types of relationships among them. • Assessment of different methods, theories and tools commonly used in the decision-making processes in order to select and adapt the most suitable according to national priorities. • Test and validate the tool through participatory activities such as focus groups and in-depth interviews. 	
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			<ul style="list-style-type: none"> To socialize the final version of the decision making tool. To accompany the process of implementing the decision-making tool to contribute to the consolidation of the pipeline of adaptation projects. Evaluate the possibility of incorporating this decision-making tool in the Adaptation Information System, describing resources needed (informatics, software, hardware) and proposing a plan of implementation for that. <p>Finally, a detailed information of the activities and deliverables to be performed by this consultant is presented in Annex 9 (Draft terms of reference).</p> <p>The deliverables that will be produced and submitted to the GCF are indicated in the annex file "15 GCF Deliverables".</p>
2.3 Stakeholder consultations conducted with equal representation of women	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	This outcome is integrated to the component 2.1.
2.4 Annual participatory review of GCF portfolio in the country organized	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	NA
3. Direct access realized	0-8	0-8	
3.1 Candidate entities identified and nominated for accreditation	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	NA
3.2 Direct access entity accredited	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	NA



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3.3 Entity/ies annual/multi-annual work programme developed	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	NA
3.4 Funding proposals through enhanced direct access modality approved	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	NA
4. Access to finance	0-8	0-8	
4.1 Structured dialogue between the NDA/Accredited Entities and the GCF Secretariat organized	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2	NA
4.2 Country programmes, concept notes, including on adaptation, developed that implement high-impact priorities identified in INDCs and other national strategies or plans	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2	<p>To develop two concept notes of climate change adaptation projects for sectors, territories, ecosystems or vulnerable communities that promote green growth and risk reduction in vulnerable sectors and territories. Methodological lessons from the process of formulating such concept notes will be integrated into public investment mechanisms. The concept notes will be selected from the results of the prioritization processes held under outcome 2.2.</p> <p>Detailed information of the activities and deliverables to be performed by the consultant responsible for preparing the concept notes is presented in Annex 11 (Draft terms of reference).</p> <p>The deliverables that will be produced and submitted to the GCF are indicated in the annex file "15 GCF Deliverables".</p>



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<p><i>4.3 Project/programme preparation support, including for adaptation, to develop funding proposals provided</i></p>	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2	<p>To structure two GCF funding proposals for sectors, territories, ecosystems or vulnerable communities prioritized ready to access domestic or international sources of funding and to draw methodological lessons from the process of structuring them. These projects can be structured upon the concept notes elaborated under outcome 4.2 and/or 5.3. For this, the steering committee of the program will select the projects based on the feasibility to implement them. This will include identifying relevant and interested accredited entities and national executing agencies, the assessment of enabling conditions, the quality data available, robustness of the financial model and the impact potential. Once this step is completed, including legal arrangements, the steering committee and program staff will monitor the structuring of the funding proposal to secure its effective and timely delivery to different funding mechanisms including the GCF.</p> <p>The steering committee will select a relevant Accredited Entity for each of the funding proposals to provide technical expertise and guidance to the executing agency that will lead the preparation of the proposal.</p> <p>Detailed information of the activities and deliverables to be performed by the consultant responsible for preparing the concept notes is presented in Annex 12 (Draft terms of reference).</p> <p>The deliverables that will be produced and submitted to the GCF are indicated in the annex file "15 GCF Deliverables".</p>
<p><i>4.4 Funding proposals, including for adaptation, that are aligned with country priorities approved</i></p>	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<p>NA</p>



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5. Private sector mobilization	0-8	0-8	
5.1 Private sector engaged in country consultative processes	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	This outcome is integrated to the component 2.1.
5.2 Enabling environment for crowding-in private sector investments for adaptation at national, regional and international levels exists	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2	<p>To perform an analysis of the regulatory framework needed to enable private sector investments on adaptation and to design tools for the private sector to consider climate risk information in their cash flow models. The analysis will be performed for three key economic sectors based the progress of their adaptation plans and private sector leadership and interest as well as inputs from activities held in 2.1 under the private sector workshops.</p> <p>Detailed information of the activities and deliverables to be performed by the consultant responsible for preparing the regulatory analysis is presented in Annex 10 (Draft terms of reference).</p> <p>The deliverables that will be produced and submitted to the GCF are indicated in the annex file "15 GCF Deliverables".</p>
5.3 Concept notes for adaptation from the private sector projects/programmes, including for adaptation, concluded	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2	<p>To prepare two concept notes for climate change adaptation projects, with focus on adaptation solutions with the potential of being financed and implemented by private actors.</p> <p>Detailed information of the activities and deliverables to be performed by the consultant responsible for preparing the concept notes is presented in Annex 11 (Draft terms of reference).</p> <p>The deliverables that will be produced and submitted to the GCF are indicated in the annex file "15 GCF Deliverables".</p>



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<i>5.4 Funding proposals under the Private Sector Facility programmes (small- and medium-sized enterprises and mobilizing funds at scale) submitted and approved</i>	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	
TOTAL	1	15	



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SECTION 3: ADDITIONAL INFORMATION

Please explain how this grant will help deliver on the country's readiness needs as identified above and build on institutions, processes or existing work already underway in the country (maximum 500 words)

Colombia has tried to reduce its vulnerability and exposure to the current impacts of climate variability and change, through the implementation of adaptation projects and actions on sectors, ecosystems, communities and vulnerable territories.

However, one of the most important challenges related with the implementation of climate change adaptation planning is to mainstream and to build capacity for introducing adaptation response actions into development policies, programs and projects. In this context, the Colombian Climate Change National Adaptation Plan (PNACC in Spanish), has already provided useful inputs for policymaking, however, a focus on implementation has been set as a priority by the National Planning Department (as technical secretariat of the PNACC) and the Environment Ministry, as a mean to achieve the adaptation targets set in the NDC, the National Development Plan and its Green Growth Policy.

In addition, it is important to notice that the National Development Plan 2010-2014 (Law 1450 of 2011) determined that the DNP was the agency tasked with coordinating the formulation of the PNACC with the aim of establishing policies that can reduce the vulnerability and adapt the country to the impacts of climate change. Under this guidance, the all the public agencies at sectoral and territorial level should integrate climate change adaptation criteria in their planning and the PNACC was tasked with the responsibility of providing methodological guidelines for such purpose.

During this process the PNACC has defined three main action priorities as follows:

- To manage climate change knowledge and its potential impacts.
- To incorporate climate change adaptation in environmental, regional and sectorial planning processes.
- To promote cross-cutting development transformation for country resilience.

In addition, the PNACC has already published guiding documents for sectors and territories as follows:

- Conceptual framework Document for adaptation in Colombia (2012)
- Roadmap for formulating regional and sectorial adaptation plans (2013)
- National Adaptation Plan Document (2015-2016)

Furthermore, under the PNACC process, the following projects and cooperation are under implementation.

- The NAP planning process is currently structuring a technical support agreement with the NAP Global Network (Estimated USD 300K). The purpose of this cooperation is to identify and fill capacity gaps in specific sectors, in order to facilitate the NAP's development and implementation. The program consists of three main areas of support:

I. Awareness raising and donor coordination



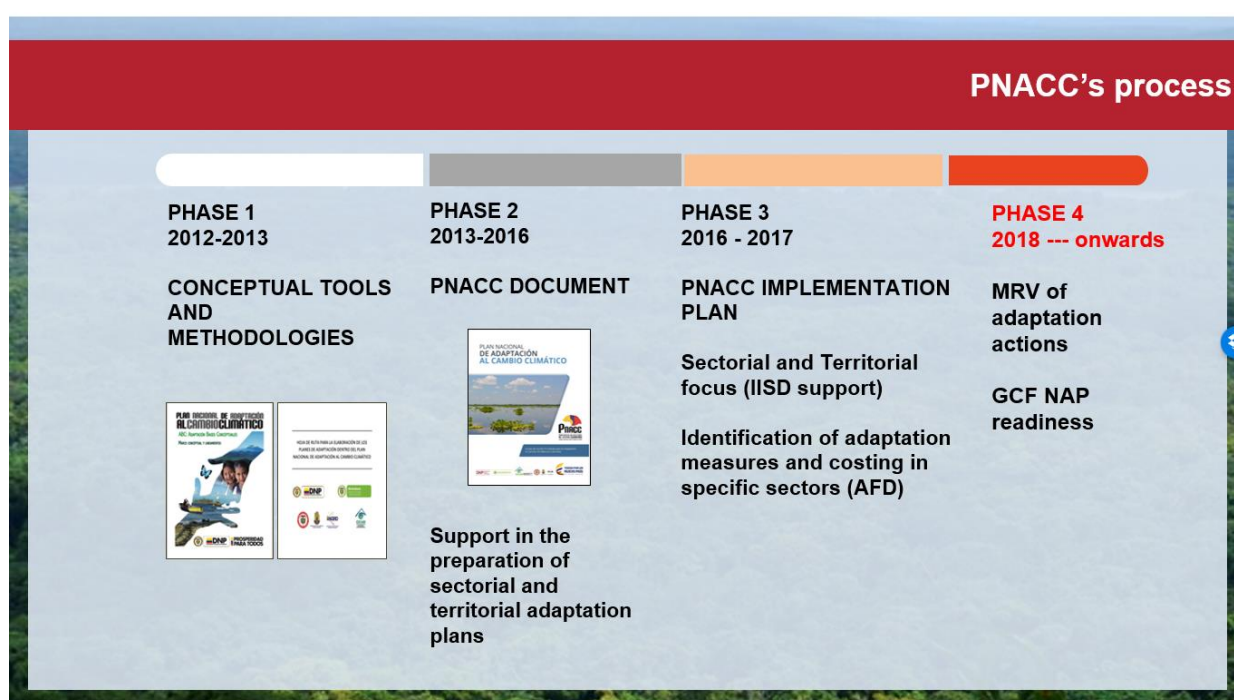
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- II. Targeted technical support for three sectors
- III. Technical mentoring regarding the identification of key needs, enablers and constraints for building adaptive capacities and resilience in selected territories

- Also, the country has received support from the French Development Agency, for the development of two consultancies: The first one, aims to identify potential adaptation projects and their costs, developing a prioritization methodology, in three sectors (water and sanitation, agriculture, tourism). The second, is structuring Ecosystem-Based Adaptation projects in the same sectors, for urban environments. Both consultancies will be finished by 2017 (Estimated budget 80k Euros).

In summary, the following graph describe the evolution and next steps of the PNACC process.



In this context, the grant provided by the GCF Adaptation Readiness program will be a key enabler to achieve an effective implementation of the PNACC since it will deliver knowledge tools needed to enhance adaptation mainstreaming and decision making capacities and it will also contribute to the structuring of large scale adaptation projects needed to reduce Colombia's vulnerability to climate change as follows:

- Scale up adaptation response measures by supporting the structuring of projects that will feed into the country program for the GCF, the access to climate finance, and to further build relevant capacities of education, training and public awareness, and the transparent, timely and accurate communication of information, as key elements to achieve the successful implementation of the PNACC and the NDC. This will be done based on a cross-cutting and gender-responsive approach.



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- To engage the PNACC members and strengthen their capacities in subjects related to the prioritization, design, evaluation, implementation, management and monitoring of policies, programs, projects, activities and national adaptation plans to climate change. The PNACC members include implementing entities, sectorial authorities at the ministerial level, financial authorities, territorial authorities, environmental authorities and private sector actors.
- To provide relevant technical inputs for the review of the targets and means of implementation to be presented in future submissions of the Adaptation component of the Colombian NDC.
- To draw lessons from ongoing or past adaptation projects or programs to enhance the understanding of success factors and enabling conditions needed to secure that future adaptation actions become effective. The latest include the commitment of the Colombian Government to increase the efficiency in the management of financial resources and the optimization of processes that drive resource mobilization and are linked to the national, sub national local commitments.
- To prepare information platforms, monitoring, verification and reporting systems and to develop the arrangements for the implementation of a strategy for knowledge management. This includes the update on information sources, new data generation and to research more accurate models and latest technology necessary to generate climate change scenarios. This will also help to identify threats, vulnerabilities, risks and more exact effects that fit the real scale of the regions and municipalities as diverse but both share a real commitment to reducing poverty, access to basic needs such as food, drinking water, roads and energy, and build a true path without conflict and peace.
- Further empowerment of the private sector to invest on adaptation solutions. The program will help to prepare the private sector for accessing GCF and other climate funds as a mean to reduce economic losses due to global warming impacts and to seize the economic opportunities from the response measures.

In summary, the Adaptation Readiness program will particularly allow the PNACC and its steering committee – that is integrated by the National Planning Department (DNP), the Environment Ministry (MADS), the National Disaster Risk Management Unit (UNGRD) and the Colombian Institute for Environmental and Hidrometeorological Studies (IDEAM) – to deliver on the mandate of the National Development Plan 2014-2018 “Todos por un nuevo País” to achieve a climate resilient compatible development for the country.

Finally, DNP holds a dual role as NDA and as PNACC’s technical secretariat. This Adaptation Readiness program will help DNP to establish a stronger synergy between the country adaptation planning and the structuring of ambitious and fundable adaptation proposals that can contribute to the PNACC’s implementation. As a clarification, the recently approved NDA Readiness support is focused on Climate Finance Mobilization.



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SECTION 4: BUDGET, PROCUREMENT, IMPLEMENTATION AND DISBURSEMENT

OUTCOMES (same as in section 2)	ACTIVITIES (same as in section 2)	TOTAL COST (per activity)	COST CATEGORIES				EXPENDITURE AND IMPLEMENTATION SCHEDULE (add columns if >24 months)				
			Consultants	Travel	Workshops/ Trainings	Others	6m	12m	18m	24m	30m
1.1 National adaptation coordination mechanism	<p>To strengthen the capacities of the agencies that integrate the steering committee of the Climate Change National Adaptation Plan (PNACC³ in Spanish). This strengthening aims to guide the implementation of robust and comprehensive climate change adaptation programs, projects and plans. To achieve this, qualified personnel will be recruited for ensuring an effective and coordinated implementation of this readiness initiative and the overall efforts of the Colombian Government towards implementing the PNACC. These staff is expected to perform the following tasks:</p> <ul style="list-style-type: none"> To design a technical training in subjects related to threats, vulnerabilities, adaptation response measures and risks of 	490.500	490.500				98.100	98.100	98.100	98.100	98.100

³ Detailed information regarding the PNACC can be found in section 3.

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[illegible]



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	<p>For details and budget assumptions please refer to the excel file “20170728 budget readiness NAP Colombia Final” that is attached to this proposal.</p> <p>Detailed information of the activities and deliverables to be performed by these professionals are presented in Annexes 01 up to 07 and 13 (draft terms of reference).</p>										
<i>1.4 Monitoring, oversight and streamlining of climate change adaptation</i>	<p>To streamline and strengthen an integrated adaptation information system to consolidate, analyze and evaluate climate information, outcomes and indicators to strengthen the capacity of implementation, evaluation and monitoring of climate change adaptation programs, projects and plans and seize the economic and social adaptation opportunities. This activity will be conducted upon existing tools such as the Climate Action Tool (Herramienta para la Acción Climática), the Climate Change Plans formulated at sectorial and subnational levels, the Information systems developed by the Colombian Adaptation Fund and the information systems used as the basis for the preparation of the Third National Communication and the Climate Finance MRV system. All these tools lack an integrating framework due to the fact that</p>	400.000	400.000				82.500	235.000	82.500		



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	<p>the data is generated depending on the mandates, competencies and priorities of each agency and this has made it difficult to establish the links to transform all this data into information useful for decision making.</p> <p>Detailed information of the activities, deliverables and indicative budget from the information system consultancy is presented in Annex 8 (Draft terms of reference).</p>										
<p><i>2.1 Stakeholders engaged in consultative processes</i></p>	<p>To develop and implement a strategy and guide for stakeholders and community participation and engagement with an emphasis on gender equity, for the design, prioritization, implementation and monitoring of climate change adaptation plans, programs and projects based on ecosystems, communities, sectors and/or territories.</p> <p>A number of workshops targeting national, subnational, private sector and community actors will be held for fulfilling this outcome (please refer to the budgeted for further detail). These consultations will be included and build upon the Colombia country programme and will feed the PNACC implementation process.</p>	100.000			100.000		20.000	40.000	40.000		



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	<p>Communication materials and tools will also be produced under this item.</p> <p>The outcome of these consultations will be used as an input for outcome 2.2.</p> <p>For details and budget assumptions please refer to the excel file "20170728 budget readiness NAP Colombia Final "that is attached to this proposal.</p>										
<i>2.2 Adaptation priorities identification</i>	<p>To develop a decision-making tool to prioritize adaptation interventions, to strengthen the knowledge and information management related to climate change hazards, exposure, vulnerabilities, risks and economic impact on sectors and territories as an input to guide the more effective implementation of the country climate policies and strategies. Taking into consideration among other elements:</p> <ul style="list-style-type: none"> ▪ The information generated in hydroclimatic risk management studies, conclusions and risks and vulnerabilities reducing proposals, and consistency assessment with sectorial and territorial studies, as well as with studies developed by DNP, IDEAM and other official entities, both in Colombia 	80.000	80.000					40.000	40.000		

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	<p>and ecosystems in similar countries in Latin America.</p> <ul style="list-style-type: none"> ▪ Climate models and new climate change threats, exposure, vulnerability, risks, effects and economic impact on sectors and territories. ▪ Lessons learnt from former projects and plans implementation processes, both at national and regional level, especially potential and identified barriers to implementing adaptation measures. ¿What can delay or stop the measure implementation? (e.g. policies, regulations or involved communities) ¿How to manage these barriers or actions or measures that allow operation at the time expected and planned? ¿How to seize opportunities identified? ▪ The input from the stakeholder consultation processes held under outcome 2.1. <p>The decision-making tool aims at integrating the relevant information generated by different stakeholders to identify and prioritize concrete adaptation interventions as a mean to respond to the</p>									
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	growing threats and vulnerabilities that is facing Colombia due to climate change. Detailed information of the activities and deliverables to be performed by this consultant is presented in Annex 9 (Draft terms of reference).										
<i>4.2 Country programmes, concept notes, including on adaptation, developed that implement high-impact priorities identified in INDCs and other national strategies or plans</i>	To develop two concept notes of climate change adaptation projects for sectors, territories, ecosystems or vulnerable communities that promote green growth and risk reduction in vulnerable sectors and territories. Methodological lessons from the process of formulating such concept notes will be integrated into public investment mechanisms. The concept notes will be selected from the results of the prioritization processes held under outcome 2.2. For details and budget assumptions please refer to the excel file "20170728 budget readiness NAP Colombia Final" Please also check annex 11 (draft terms of reference for the preparation of Concept Notes).	300.000				300.000			300.000		
<i>4.3 Project/programme preparation support, including for</i>	To structure two GCF funding proposals for sectors, territories, ecosystems or vulnerable communities prioritized ready to access domestic or international	600.000				600.000				300.000	300.000



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<p><i>adaptation, to develop funding proposals provided</i></p>	<p>sources of funding and to draw methodological lessons from the process of structuring them. These projects can be structured upon the concept notes elaborated under outcome 4.2 and/or 5.3. For this, the steering committee of the program will select the projects based on the feasibility to implement them. This will include to identify relevant and interested accredited entities and national executing agencies, the assessment of enabling conditions, the quality data available, robustness of the financial model and the impact potential. Once this step is completed, including legal arrangements, the steering committee and program staff will monitor the structuring of the funding proposal to secure its timely delivery to different funding mechanisms including the GCF. For details and budget assumptions please refer to the excel file "20170728 budget readiness NAP Colombia Final" that is attached to this proposal</p> <p>The steering committee will select a relevant Accredited Entity for each of the funding proposals to provide technical expertise and guidance to the executing agency that will lead the preparation of the proposal.</p>										
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	Please also refer to annex 12 (Draft terms of references for the preparation of funding proposals)										
<i>5.2 Enabling environment for crowding-in private sector investments for adaptation at national, regional and international levels exists</i>	<p>To perform an analysis of the regulatory framework needed to enable private sector investments on adaptation and to design tools for the private sector to consider climate risk information in their cash flow models. The analysis will be performed for two key economic sectors based the progress of their adaptation plans and private sector leadership and interest.</p> <p>The Sectors will be selected from the prioritized sectors of the PNACC considering adaptation priorities resulting from decision making tools described in 2.2.</p> <p>Detailed information of the activities and deliverables to be performed by the consultant responsible for preparing the regulatory analysis is presented in Annex 10 (Draft terms of reference).</p>	100.000	100.000					100.000			
<i>5.3 Concept notes for adaptation from the private sector projects/programmes,</i>	To prepare two concept notes for climate change adaptation projects, with focus on adaptation solutions with the potential of being financed and implemented by private actors.	300.000					300.000		300.000		



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<i>including for adaptation concluded</i>	<p>For details and budget assumptions please refer to the excel file "20170517 budget verif readineess NAP GCF.xlsx" that is attached to this proposal</p> <p>Detailed information of the activities and deliverables to be performed by the consultant responsible for preparing the concept notes is presented in Annex 11 (Draft terms of reference).</p>										
CONTINGENCY (UP TO 5%)	3,32%	78.621				78.621	15.724,2	15.724,2	15.724,2	15.724,2	15.724,2
PROJECT MANAGEMENT COSTS (UP TO 10%)	<p>Two financial external audits will be held in order to establish the financial status of the project. These audits will be executed according to International Audit Rules of Accountants International Federation. In relation with the scope, the audits include an appropriate planning, assessment and verification of internal control structure, and getting an objective and sufficient evidence that allow to reach a reasonable conclusion to support its opinions.</p> <p>The audit includes the examination, based on selective proofs, of evidence of figures and financial statements.</p> <p>The financial external audits will be procured at 50% of the time of the project and at the end of the project.</p> <p>In addition, PMC will cover US\$37.500 of the total costs for the Deputy Coordinator.</p>	47.500				47.500	7.500	7.500	12.500	7.500	12.500



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DELIVERY PARTNER FEE (UP TO 10%)		187.342	187.342				37.468,4	37.468,4	37.468,4	37.468,4	37.468,4
TOTAL		2.683.964	1.257.842		100.000	1.326.121	261.293	573.793	926.293	458.793	463.793



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Procurement plan

For goods or services to be procured/hired, list the items to be procured/hired (including consultants), the estimated cost of each item, and the procedure to be used (i.e. direct procurement, open tender, shopping, other and related thresholds). Please include the procurement plan for at least the first tranche of disbursement requested below.

<u>Contract name</u>	<u>Contract Amount and Currency</u>	<u>Type of contract[1]</u>	<u>Type of competition[2]</u>	<u>Procurement method[3]</u>	<u>Selection method[4]</u>	<u>PQL or REI publication date</u>	<u>Invitation for Bid date</u>	<u>Contract signing date</u>	<u>Contract completion date</u>
Individual consultants related to PNACC implementation	490.500	C	NCB	RCB	FBS	01/02/2018	01/03/2018	01/04/2018	13/07/2020
Adaptation Information System	400.000	C	NCB	OIB	QCBS	01/04/2018	01/05/2018	01/06/2018	01/08/2019
Workshops to stakeholders engagement	100.000	O	NCB	RCB / DC (5)	LESCS		01/05/2018	01/06/2018	01/08/2019
Decision making tool	80.000	C	NCB	OIB	QCBS	01/11/2018	01/12/2018	01/01/2019	31/12/2019
Feasibility studies and concept notes formulation	300.000	C	NCB	OIB	QCBS	01/04/2019	01/05/2019	01/06/2019	01/12/2019
Project structuration	600.000	C	NCB	OIB	QCBS	01/04/2019	01/05/2019	01/06/2019	01/06/2020
Regulatory analysis for private sector mobilization	100.000	C	NCB	OIB	QCBS	01/06/2018	01/07/2018	01/08/2018	31/12/2018
Concept notes for private sector	300.000	C	NCB	OIB	QCBS	01/11/2018	01/12/2018	01/01/2019	01/07/2019
Delivery Partner Fee	187.342								
Contingency	78.621	O							
PMC	47.500	O							
TOTAL	2.683.964								

[1] C for consultancy; W for works; G for goods; O for others.

[2] NCB: national competitive bidding; ICB: international competitive bidding

[3] REOI: request for expression of interest; OIB: open invitation for Bids; RCB: restricted competitive bidding; DC: direct consultation; SS: single-source contracting; PQL: Prequalification; REOI + RCB for Restricted competitive bidding after Request for expressions of interest; PQL + OIB for Open invitation for bid after Prequalification.

[4] QCBS: quality and cost; QBS: quality based; FBS: fixed budget; LCS: least cost; LESCS: lower evaluated and substantially compliant bidder.

(5) The procurement method will be defined as RCB or DC according to the qualification and evaluation included in the integrated information system.



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Financial external audit (As PMC Component)	5.000	C	NCB	RCB	LCS	6/11/2018	27/11/2018	23/01/2019	30/04/2019
Financial external audit (As PMC Component)	5.000	C	NCB	RCB	LCS	5/11/2018	28/11/2018	23/01/2019	31/03/2020

Administrative costs are currently 6,98% as this was close to the value that Fondo Accion presented to the NDA when submitting their proposal to be delivery partner of this NAP Readiness (6,99%). This amount covers the following qualified professionals that will support the preparation, implementation and evaluation of the readiness action plan: an administrative and financial coordinator that will guarantee the responsible and efficient use of resources and support the preparation of financial reports and requirements; an administrative and accounting assistant; a technical assistant that will support all technical activities and deliverables associated with project implementation; a communications support; and a logistics and operative assistant. Other administrative costs such as office space or office supplies are not charged.

Disbursement schedule

Specify the proposed schedule for requesting disbursements from the GCF, including amounts and periodicity. For amounts requested, keep to multiples of USD 5,000, and for periodicity, specify whether it's quarterly, bi-annually or annually only.

The table below present the proposed schedule for requesting disbursements in a quarterly periodicity.

1st Tranche: USD 350,000 (Three hundred Fifty thousand US Dollars) only will be disbursed upon or after effectiveness of the Grant Agreement and also upon fulfilment of the disbursement conditions specified in the Grant Agreement and Standard Conditions.

2nd Tranche: USD 550,000 (Five hundred Fifty thousand US Dollars) only, will be transferred (provided that at least 70% of the 1st Tranche has been incurred) upon submission of an interim progress report and Certified Financial Report and also upon fulfilment of the disbursement conditions specified in the Grant Agreement and Standard Conditions.

3rd Tranche: USD 900,000 (Nine hundred thousand US Dollars) only, will be transferred (provided that 100% of the 1st Tranche and at least 70% of the 2nd Tranche has been incurred) upon submission of an Annual Progress report and Audit Financial Report and also upon fulfilment of the disbursement conditions specified in the Grant Agreement and Standard Conditions.

4th Tranche: USD 500,000 (Five hundred thousand US Dollars) only, will be transferred (provided that 100% of the 2nd tranche and at least 70% of the 3rd Tranche has been incurred) upon submission of an interim Progress report and Certified Financial Report and also upon fulfilment of the disbursement conditions specified in the Grant Agreement and Standard Conditions.

5th Tranche: USD 283,964 (Two hundred Eighty three thousand Nine hundred Sixty four US Dollars) only, will be transferred (provided that 100% of the 3rd Tranche and at least 70% of the 4th Tranche has been incurred) upon submission of an Annual Progress report and Audit financial Report and also upon fulfilment of the disbursement conditions specified in the Grant Agreement and Standard Conditions.

Final Tranche: USD 100,000 (One hundred thousand US Dollars) only, will be transferred upon submission of a project completion report and final Audit Report. Submission of a completion and audit report will be furnished no later than three (3) months after the completion of the Readiness Support and also upon fulfilment of the disbursement conditions specified in the Grant Agreement and Standard Conditions.

The final tranche will be done after submission of the project completion report and the final financial audit report of the project.



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Note: The following inputs will be considered in all disbursement and reporting related matters.

- The Delivery Partner will open a separate (joint signatory) bank account for the GCF Grant.
- Disbursements will be programmed by the GCF on a half yearly disbursement basis, pending approval of relevant financial and progress report.
- Financial reports will be provided to the GCF on a half yearly basis, with supporting documents (Bank statement, Reconciliation statement).
- Substantive Progress Reports will be submitted to the GCF on a Quarterly basis.

Additional information

This box provides an opportunity to include further explanations related to the budget, procurement plan and disbursement schedule, including any details on the assumptions to justify costs presented in the budget.

The budget is based on market price in Colombia for individual consultants and the costs to develop activities with similar scope.



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SECTION 5: IMPLEMENTATION ARRANGEMENTS AND OTHER INFORMATION

Please attach an "implementation map" or describe how funds will be managed by the NDA/FP or delivery partner

If the entity implementing the readiness support is not an accredited entity of the GCF, please complete the [Financial Management Capacity Assessment \(FMCA\)](#) questionnaire and submit it with this proposal.

Fondo Acción is in the process of being accredited to the Green Climate Fund. Please find attached the FMCA questionnaire.

An **Operational Manual** for the project will be elaborated and adopted, identifying the implementation mechanisms of the project. This Manual will specify the procedures, terms and conditions required to carry out the technical, administrative and financial activities included in the legal agreements signed with the financing agency (Green Climate Fund), as well as in the agreement signed between the governmental agencies and the Fondo Acción as an operator (if necessary according to the governance structure). The Manual will take into account national laws and regulations related to the environment and those that regulate the implementation of project activities.

The Operational Manual will define the institutional responsibilities and the **governance structure**. An Executive Committee is proposed, that will be responsible for defining the strategic orientation and planning of the project during its execution, in order to achieve the goals and objectives. This Committee will be the highest authority for decision-making, coordination and supervision of project execution, ensuring that all funds and resources are executed in accordance with the provisions of the corresponding legal agreements. The Manual will define the composition, functions, frequency of meetings and the role of the technical secretariat.

In addition, the proposed governance structure aims to articulate and strengthen the interactions between the relevant stakeholders in regards to existing information systems and decision making processes.

Fondo Acción will be responsible for managing the readiness funds, implementing all project activities, conducting procurement according to the organization's policies and rules, and reporting.

In addition, Fondo Acción as delivery partner will secure that during the implementation of this readiness proposal:

- The director of the Environmental Programs division with the support of the staff from this division will provide day to day support to the coordinator and the consultancy firms. These can be facilitating the arrangement of meetings, the access to relevant information and the arrangements of the logistics of workshops among others. The Environmental Programs division is part of the Delivery Partner organization.
- The Environmental Programs division will be responsible for carrying out the procurement of this readiness in coordination with the coordinator and the deputy coordinator (when available), however the final decision on the selection of firms and consultants will require an approval from the PNACC steering committee.
- The procurement of the project will be published in the website of Fondo Acción.
- The firms and the consultants will be able to use Fondo Acción premises for the organization of working meetings.

Other relevant information



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This box provides an opportunity to include any important information you wish to bring to the attention of the GCF Secretariat, but did not have an opportunity to provide in the sections above.

Private sector engagement strategy.

Stakeholder engagement is a cross-cutting issue along the Readiness program, and several of its components address explicitly the participation of the private sector.

The strategy envisaged by the program is to make private sector a key element for its implementation, and assuring its commitment. This will be performed along processes of information gathering and analysis, institutional capacity strengthening, knowledge share, and adaptation project design and implementation. This involvement will start with the organization of three workshops that will specifically address private sector actors and that will allow to identify business association leading actions on climate adaptation as well as vulnerabilities in key economic sectors. Based on this, the regulatory analysis, the information system and the selection of projects will be perform as indicated next.

For instance, in component 5.2 of the program we foresee as an initial step of the regulatory framework analysis, the identification of barriers and opportunities for private sector engagement in adaptation. With this activity, the program also seeks to explore the business cases and recommendations that would motivate the private sector to buying into the value of investing in adaptation actions. The previous would also allow the program to identify key sectors and private sector associations with prominent opportunities for adaptation engagement.

Second, in component 5.3 two concept notes for project design will be developed, setting the case for private sector leading its implementation and financing. Such notes, closely developed with private stakeholders and associations, will contribute to generate new knowledge in adaptation, and the result will be published and will be available for all the public and used as a reference for adaptation stakeholders.